

# MIXED MULTIPLICITIES OF MULTI-GRADED ALGEBRAS OVER NOETHERIAN LOCAL RINGS \*

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**ABSTRACT:** Let  $S = \bigoplus_{n_1, \dots, n_s \geq 0} S_{(n_1, \dots, n_s)}$  be a finitely generated standard multi-graded algebra over a Noetherian local ring  $A$ . This paper first expresses mixed multiplicities of  $S$  in term of Hilbert-Samuel multiplicity that explained the mixed multiplicities  $S$  as the Hilbert-Samuel multiplicities for quotient modules of  $S_{(n_1, \dots, n_s)}$ . As an application, we get formulas for the mixed multiplicities of ideals that covers the main result of Trung-Verma in [TV].

## 1. Introduction

Throughout this paper, let  $(A, \mathfrak{m})$  denote a Noetherian local ring with maximal ideal  $\mathfrak{m}$ , infinite residue  $k = A/\mathfrak{m}$ ;  $S = \bigoplus_{n_1, \dots, n_s \geq 0} S_{(n_1, \dots, n_s)}$  ( $s > 0$ ) a finitely generated standard  $s$ -graded algebra over  $A$ . Let  $J$  be an  $\mathfrak{m}$ -primary ideal of  $A$ . Set

$$D_J(S) = \bigoplus_{n \geq 0} \frac{J^n S_{(n, \dots, n)}}{J^{n+1} S_{(n, \dots, n)}}$$

and  $\ell = \dim D_{\mathfrak{m}}(S)$ . Then

$$\ell_A\left(\frac{J^{n_0} S_{(n_1, \dots, n_s)}}{J^{n_0+1} S_{(n_1, \dots, n_s)}}\right)$$

is a polynomial of total degree  $\ell - 1$  in  $n_0, n_1, \dots, n_s$  for all large  $n_0, n_1, \dots, n_s$  (see Section 3). If we write the term of total degree  $\ell - 1$  in this polynomial in the form

$$\sum_{k_0 + k_1 + \dots + k_s = \ell - 1} e(J, k_0, k_1, \dots, k_s, S) \frac{n_0^{k_0} n_1^{k_1} \dots n_s^{k_s}}{n_0! n_1! \dots n_s!}$$

then  $e(J, k_0, k_1, \dots, k_s, S)$  are non-negative integers not all zero and called the *mixed multiplicity of  $S$  of type  $(k_0, k_1, \dots, k_s)$  with respect to  $J$* .

In particular, when  $S = A[I_1 t_1, \dots, I_s t_s]$  is a multi-graded Rees algebra of ideals  $I_1, \dots, I_s$ ,  $e(J, k_0, k_1, \dots, k_s, S)$  exactly is the mixed multiplicity of a set of ideals  $(J, I_1, \dots, I_s)$  in local ring  $A$  (see [Ve2] or [HHRT]).

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\* *Mathematics Subject Classification (2000):* Primary 13H15; Secondary 13A02, 13C15, 13E05.  
*Key words and phrases :* Multiplicity, Mixed multiplicity, Graded ring, Multi-graded.

Mixed multiplicities of ideals were first introduced by Teissier and Risler in 1973 for two  $\mathfrak{m}$ -primary ideals and in this case they can be interpreted as the multiplicity of general elements [Te]. Next, Rees in 1984 proved that each mixed multiplicities of a set of  $\mathfrak{m}$ -primary ideals is the multiplicity of a joint reduction of them [Re]. In general, mixed multiplicities have been mentioned in the works of Verma, Katz, Swanson and other authors, see e.g. [Ve1], [Ve2], [Ve3], [Sw], [HHRT], [KV], [Tr1]. By using the concept of (FC)-sequences, Viet in 2000 showed that one can transmute mixed multiplicities of a set of arbitrary ideals into Hilbert-Samuel multiplicities [Vi]. Trung in 2001 gave the criteria for the positivity of mixed multiplicities of an ideal  $I$  [Tr2]. Similar to the methods of Viet [Vi], Trung and Verma in 2007 characterize also mixed multiplicities of a set of ideals, in term of superficial sequences [TV]. Moreover, some another authors have extended mixed multiplicities of a set of ideals to modules, e.g. Kirby and Rees in [KR1, KR2]. Kleiman and Thorup in [KT1, KT2], Manh and Viet in [MV1]. In a recent paper [VM] Viet and Manh investigated the mixed multiplicities of multigraded algebras over Artinian local rings.

In this paper, we consider mixed multiplicities of multi-graded algebra  $S$  over Noetherian local ring. Our aim is to characterize mixed multi-graded of  $S$  with respect to  $J$  in term of Hilbert-Samuel multiplicity (Theorem 3.3, Sect.3). As an application, we get a version of Theorem 3.3 for mixed multiplicities of arbitrary ideals in local rings (Theorem 4.3, Sect.4) that covers the main result in [TV].

The paper is divided in four sections. In Section 2, we investigate (FC)-sequences of multi-graded algebras. Section 3 gives some results on expressing mixed multiplicities of multi-graded algebras in terms of Hilbert-Samuel multiplicity. Section 4 devoted to the discussion of mixed multiplicities of arbitrary ideals in local rings.

## 2. (FC)-sequences of multi-graded algebras

The author in [Vi] built (FC)-sequences of ideals in local ring for calculating mixed multiplicities of set of ideals. In order to study mixed multiplicities of multi-graded algebras, this section introduces (FC)-sequences in multi-graded algebras and gives some important properties of these sequences.

Set  $\mathfrak{a} : \mathfrak{b}^\infty = \bigcup_{n=0}^{\infty} (\mathfrak{a} : \mathfrak{b}^n)$ , and

$$\begin{aligned}
(M : N)_A &= \{a \in A \mid aN \subset M\}; \\
S_+ &= \bigoplus_{n_1 + \dots + n_s > 0} S_{(n_1, \dots, n_s)}; \\
S_i &= S_{(0, \dots, \underset{(i)}{1}, \dots, 0)}; \\
S_i^+ &= S_i S = \bigoplus_{n_i > 0} S_{(n_1, \dots, n_s)} (i = 1, 2, \dots, s); \\
S_{++} &= S_1^+ \cap \dots \cap S_s^+ = \bigoplus_{n_1, \dots, n_s > 0} S_{(n_1, \dots, n_s)} = S_{(1, \dots, 1)} S.
\end{aligned}$$

**Definition 2.1.** Let  $S = \bigoplus_{n_1, \dots, n_s \geq 0} S_{(n_1, \dots, n_s)}$  be a finitely generated standard  $s$ -graded algebra over a Noetherian local ring  $A$  such that  $S_{++}$  is non-nilpotent and let  $I$  be an ideal of  $A$ . A homogeneous element  $x \in S$  is called a weak-(FC)-element of  $S$  with respect to  $I$  if there exists  $i \in \{1, 2, \dots, s\}$  such that  $x \in S_i$  and

$$(FC_1): \quad xS_{(n_1, \dots, n_{i-1}, \dots, n_s)} \cap I^{n_0} S_{(n_1, \dots, n_s)} = xI^{n_0} S_{(n_1, \dots, n_{i-1}, \dots, n_s)} \text{ for all large } n_0, n_1, \dots, n_s.$$

$$(FC_2): \quad x \text{ is a filter-regular element of } S, \text{ i.e., } (0 : x)_{(n_1, \dots, n_s)} = 0 \text{ for all large } n_1, \dots, n_s.$$

Let  $x_1, \dots, x_t$  be a sequence in  $S$ . We call that  $x_1, \dots, x_t$  is a weak-(FC)-sequence of  $S$  with respect to  $I$  if  $\bar{x}_{i+1}$  is a weak-(FC)-element of  $S/(x_1, \dots, x_i)S$  with respect to  $I$  for all  $i = 0, 1, \dots, t-1$ , where  $\bar{x}_{i+1}$  is the image of  $x_{i+1}$  in  $S/(x_1, \dots, x_i)S$ .

**Example 2.2:** Let  $R = A[X_1, X_2, \dots, X_t]$  be the ring of polynomial in  $t$  indeterminates  $X_1, X_2, \dots, X_t$  with coefficients in  $A$  ( $\dim A = d > 0$ ). Then  $R = \bigoplus_{m \geq 0} R_m$  is a finitely generated standard graded algebra over  $A$ , where  $R_m$  is the set of all homogeneous polynomials of degree  $m$  and the zero polynomial. It is well known that  $X_1, X_2, \dots, X_t$  is a regular sequence of  $R$ . Let  $I$  be an ideal of  $A$ . It is easy to see that  $X_1 R_{m-1} \cap I R_m$  and  $I X_1 R_{m-1}$  are both the set of all homogeneous polynomials of degree  $m$  with coefficients in  $\mathfrak{I}$  and divided by  $X_1$ . Hence  $X_1 R_{m-1} \cap I R_m = I X_1 R_{m-1}$  for any ideal  $I$  of  $A$ . Using the results just obtained and the fact that

$$R/(X_1, \dots, X_i)R = A[X_{i+1}, \dots, X_t]$$

for all  $i < t$ , we immediately show that  $X_1, X_2, \dots, X_t$  be a weak-(FC)-sequence of  $R$  with respect to  $I$  for any ideal  $I$  of  $A$ .

Now, we give some comments on weak-(FC)-sequences of a finitely generated standard multi-graded algebra over  $A$  by the following remark.

**Remark 2.3.**

(i) By Artin-Rees lemma, there exists integer  $u_1, u_2, \dots, u_s$  such that

$$\begin{aligned} (0 : S_{++}^\infty) \bigcap S_{(n_1, \dots, n_s)} &= S_{(n_1 - u_1, \dots, n_s - u_s)} ((0 : S_{++}^\infty) \bigcap S_{(u_1, \dots, u_s)}) \\ &\subseteq S_{(n_1 - u_1, \dots, n_s - u_s)} (0 : S_{++}^\infty) \end{aligned}$$

for all  $n_1 \geq u_1, \dots, n_s \geq u_s$ . Since  $S_{(n_1 - u_1, \dots, n_s - u_s)} (0 : S_{++}^\infty) = 0$  for all large enough  $n_1, \dots, n_s$ , it follows that  $(0 : S_{++}^\infty)_{(n_1, \dots, n_s)} = (0 : S_{++}^\infty) \bigcap S_{(n_1, \dots, n_s)} = 0$  for all large enough  $n_1, \dots, n_s$ .

(ii) Let  $x \in S$  be a homogeneous element. If  $0 : x \subseteq 0 : S_{++}^\infty$  then, by (i),

$$(0 : x)_{(n_1, \dots, n_s)} \subseteq (0 : S_{++}^\infty)_{(n_1, \dots, n_s)} = 0$$

for all large  $n_1, \dots, n_s$ . Thus  $x$  is a filter-regular element of  $S$ . Conversely, suppose that  $x$  is a filter-regular element of  $S$ . We have

$$S_{(n_1, \dots, n_s)}(0 : x)_{(v_1, \dots, v_s)} \subseteq (0 : x)_{(n_1+v_1, \dots, n_s+v_s)} = 0$$

for all large  $n_1, \dots, n_s$  and all  $v_1, \dots, v_s$ . It implies that

$$(0 : x)_{(v_1, \dots, v_s)} \subseteq (0 : S_{++}^n) \subseteq (0 : S_{++}^\infty)$$

for all large  $n$  and all  $v_1, \dots, v_s$ . Hence  $(0 : x) \subseteq (0 : S_{++}^\infty)$ . Therefore  $x$  is a filter-regular element of  $S$  if and only if  $0 : x \subseteq 0 : S_{++}^\infty$ .

(iii) Suppose that  $x \in S_i$  is a filter-regular element of  $S$ . Consider

$$\lambda_x : S_{(n_1, \dots, n_i, \dots, n_s)} \longrightarrow xS_{(n_1, \dots, n_i-1, \dots, n_s)}, y \mapsto xy.$$

It is clear that  $\lambda_x$  is surjective and  $\ker \lambda_x = (0 : x) \cap S_{(n_1, \dots, n_s)} = 0$  for all large  $n_1, \dots, n_s$ . Therefore,  $S_{(n_1, \dots, n_i, \dots, n_s)} \cong xS_{(n_1, \dots, n_i-1, \dots, n_s)}$ . This follows that

$$IS_{(n_1, \dots, n_i, \dots, n_s)} \cong xIS_{(n_1, \dots, n_i-1, \dots, n_s)}$$

for all large  $n_1, \dots, n_s$  and for any ideal  $I$  of  $A$ .

(iv) If  $S_{++}$  is non-nilpotent then  $S_{(n, \dots, n)} \neq 0$  for all  $n$ . Hence, by Nakayama's Lemma,  $(D_{\mathfrak{m}}(S))_n = \frac{\mathfrak{m}^n S_{(n, \dots, n)}}{\mathfrak{m}^{n+1} S_{(n, \dots, n)}} \neq 0$  for all  $n$ . It implies that  $\dim D_{\mathfrak{m}}(S) \geq 1$ .

The following lemma will play a crucial role for showing the existence of weak-(FC)-sequence.

**Lemma 2.4 (Generalized Rees's Lemma).** *Let  $(A, \mathfrak{m})$  be a Noetherian local ring with maximal ideal  $\mathfrak{m}$ , infinite residue  $k = A/\mathfrak{m}$ . Let  $S = \bigoplus_{n_1, \dots, n_s \geq 0} S_{(n_1, \dots, n_s)}$  be a finitely generated standard  $s$ -graded algebra over  $A$ ;  $I$  be an ideal of  $A$ . Let  $\Sigma$  be a finite collection of prime ideals of  $S$  not containing  $S_{(1, \dots, 1)}$ . Then for each  $i = 1, \dots, s$ , there exists an element  $x_i \in S_i \setminus \mathfrak{m}S_i$ ,  $x_i$  not contained in any prime ideal in  $\Sigma$ , and a positive integer  $k_i$  such that*

$$x_i S_{(n_1, \dots, n_i-1, \dots, n_s)} \cap I^{n_0} S_{(n_1, \dots, n_s)} = x_i I^{n_0} S_{(n_1, \dots, n_i-1, \dots, n_s)}$$

for all  $n_i > k_i$  and all non-negative integers  $n_0, n_1, \dots, n_{i-1}, n_{i+1}, \dots, n_s$ .

**Proof.** In the ring  $S[t, t^{-1}]$  ( $t$  is an indeterminate), set

$$S^* = \bigoplus_{n_0 \in \mathbb{Z}} I^{n_0} S t^{n_0} = \bigoplus_{n_0 \in \mathbb{Z}; n_1, \dots, n_s \geq 0} I^{n_0} S_{(n_1, \dots, n_s)} t^{n_0}$$

where  $I^n = A$  for  $n \leq 0$ . Then  $S^*$  is a Noetherian  $(s+1)$ -graded ring. From  $u = t^{-1}$  is non-zero-divisor in  $S^*$ , by the Corollary of [Lemma 2.7, Re], the set of prime

associated with  $u^n S^*$  is independent on  $n > 0$  and so is finite. We divide this set into two subsets:  $\mathfrak{S}_1$  consisting of those containing  $S_i$  and  $\mathfrak{S}_2$  those that do not (where  $S_i = S_{(0, \dots, \frac{1}{(i)}, \dots, 0)} = S_{(0, 0, \dots, \frac{1}{(i+1)}, \dots, 0)}^*$ ).

From  $S_i/\mathfrak{m}S_i$  is a vector space over the infinite field  $k$  and the sets  $\Sigma, \mathfrak{S}_2$  are both finite, we can choose  $x_i \in S_i \setminus \mathfrak{m}S_i$  such that  $x_i$  is not contained in any prime ideal belonging to  $\Sigma \cup \mathfrak{S}_2$ . Set

$$M_n = \frac{(u^n S^* : x_i) \cap S^*}{u^n S^*}.$$

Then  $M_n$  is a  $S^*$ -module for any  $n > 0$ . We need must show that there exists a sufficiently large integer  $N > 0$  such that  $S_i^N M_n = 0$ . Note that if  $P \in \text{Ass}_{S^*} M_n$  then  $P \in \text{Ass}_{S^*} S^*/u^n S^* = \mathfrak{S}_1 \cup \mathfrak{S}_2$ , and there exists  $z \in u^n S^* : x_i$  such that  $P = u^n S^* : z$ . Since  $x_i z \in u^n S^*$ ,  $x_i \in P$ . So  $P \in \mathfrak{S}_1$ . Hence  $S_i \subset P$ . It follows that  $S_i \subset \bigcap_{P \in \text{Ass}_{S^*} M_n} P$ . Therefore

$$S_i \subset \sqrt{\text{Ann}_{S^*} M_n}.$$

Since  $S_i$  is finitely generated, there exists a sufficiently large integer  $N > 0$  (how large depending on  $n$ ) such that  $S_i^N M_n = 0$ . Hence, for all large  $n_i > N$ , any element of  $M_n$  of degree  $(n_0, n_1, \dots, n_s)$  is zero. This means that, for each  $n > 0$ , we have

$$(u^n I^{n_0} S_{(n_1, \dots, n_s)} t^{n_0} : x_i) \bigcap S^* = u^n I^{n_0} S_{(n_1, \dots, n_{i-1}, \dots, n_s)} t^{n_0} \quad (1)$$

for all large  $n_i$  and all non-negative integers  $n_0, n_1, \dots, n_{i-1}, n_{i+1}, \dots, n_s$ .

Let  $\mathfrak{b}$  denote the ideal of  $S^*$  consisting of all finite sums  $\sum c_{n_0} t^{n_0}$  with

$$c_{n_0} \in x_i S_{(n_1, \dots, n_{i-1}, \dots, n_s)} \cap I^{n_0} S_{(n_1, \dots, n_s)}.$$

Then  $\mathfrak{b}$  has a finite generating set of the form  $x_i b_i t^{n_0}$  with  $b_i \in S_{(n_1, \dots, n_{i-1}, \dots, n_s)}$ . Note that if  $0 \neq a \in I^m S$  and  $m \geq n_0$  then  $a t^{n_0} \in S^*$ . Specially, if  $n_0 < 0$  then  $a t^{n_0} \in S^*$  for all  $a \in S$ . Hence since the above generating set of  $\mathfrak{b}$  is finite, it follows that there exists an integer  $q$  such that  $u^q b_i t^{n_0} = b_i t^{n_0 - q} \in S^*$  for all element of this generating set ( $q$  is chosen such that  $n_0 - q < 0$  for all  $n_0$ ). Therefore  $\mathfrak{b} \subseteq x_i S^* : u^q$ .

Now, suppose that  $z \in x_i S_{(n_1, \dots, n_{i-1}, \dots, n_s)} \cap I^{n_0} S_{(n_1, \dots, n_s)}$ . This means  $z t^{n_0} \in \mathfrak{b}$ . Because  $\mathfrak{b} \subseteq x_i S^* : u^q$ ,  $u^q z t^{n_0} = x_i w$ , where  $w \in S^*$ . Since  $z \in I^{n_0} S_{(n_1, \dots, n_s)}$ , it follows that  $x_i w = u^q z t^{n_0} \in u^q I^{n_0} S_{(n_1, \dots, n_s)} t^{n_0}$ . Hence, by (1), we can find  $k_i$  such that

$$w \in (u^q I^{n_0} S_{(n_1, \dots, n_s)} t^{n_0} : x_i) \bigcap S^* = u^q I^{n_0} S_{(n_1, \dots, n_{i-1}, \dots, n_s)} t^{n_0}$$

for all  $n_i > k_i$ . Thus  $u^q z t^{n_0} = x_i w \in x_i u^q I^{n_0} S_{(n_1, \dots, n_{i-1}, \dots, n_s)} t^{n_0}$ . Since  $u$  and  $t$  are non-zero-divisors in  $S^*$ ,  $z \in x_i I^{n_0} S_{(n_1, \dots, n_{i-1}, \dots, n_s)}$ . Hence if  $n_i > k_i$ ,

$$x_i S_{(n_1, \dots, n_{i-1}, \dots, n_s)} \cap I^{n_0} S_{(n_1, \dots, n_s)} \subset x_i I^{n_0} S_{(n_1, \dots, n_{i-1}, \dots, n_s)}.$$

Consequently,  $x_i S_{(n_1, \dots, n_{i-1}, \dots, n_s)} \cap I^{n_0} S_{(n_1, \dots, n_s)} = x_i I^{n_0} S_{(n_1, \dots, n_{i-1}, \dots, n_s)}$ . ■

The following proposition will show the existence of weak-(FC) sequence.

**Proposition 2.5.** *Suppose that  $S_{++}$  is non-nilpotent. Then for any  $1 \leq i \leq s$ , there exists a weak-(FC)-element  $x \in S_i$  of  $S$  with respect to  $I$ .*

**Proof.** Since  $S_{++}$  is non-nilpotent,  $S/0 : S_{++}^\infty \neq 0$ . Set

$$\Sigma = \text{Ass}_S(S/0 : S_{++}^\infty) = \{P \in \text{Ass} S \mid P \not\supseteq S_{(1,\dots,1)}\}.$$

Then  $\Sigma$  is finite. By Lemma 2.4, for each  $i = 1, \dots, s$ , there exists  $x \in S_i \setminus \mathfrak{m}S_i$  such that  $x \notin P$  for all  $P \in \Sigma$  and

$$xS_{(n_1,\dots,n_{i-1},\dots,n_s)} \cap I^{n_0}S_{(n_1,\dots,n_{i-1},\dots,n_s)} = xI^{n_0}S_{(n_1,\dots,n_s)}.$$

Thus  $x$  satisfies the condition (FC<sub>1</sub>). Since  $x \notin P$  for all  $P \in \Sigma$ ,  $0 : x \subset 0 : S_{++}^\infty$ . Hence by Remark 2.3(ii),  $x$  satisfies the condition (FC<sub>2</sub>). ■

### 3. Mixed multiplicities of multi-graded algebras

This section first determines mixed multiplicities of multi-graded algebras defined over a Noetherian local ring, next answers to the question when these mixed multiplicities are positive and characterizes them in term of Hilbert-Samuel multiplicities.

Let  $S = \bigoplus_{n_1,\dots,n_s \geq 0} S_{(n_1,\dots,n_s)}$  be a finitely generated standard  $s$ -graded algebra over a Noetherian local ring  $A$  such that  $S_{++}$  is non-nilpotent and  $J$  be an  $\mathfrak{m}$ -primary ideal of  $A$ . Since

$$\bigoplus_{n_0,n_1,\dots,n_s \geq 0} \frac{J^{n_0}S_{(n_1,\dots,n_s)}}{J^{n_0+1}S_{(n_1,\dots,n_s)}}$$

is a finitely generated standard  $s$ -graded algebra over Artinian local ring  $A/J$ , by [HHRT, Theorem 4.1],

$$\ell_A\left(\frac{J^{n_0}S_{(n_1,\dots,n_s)}}{J^{n_0+1}S_{(n_1,\dots,n_s)}}\right)$$

is a polynomial for all large  $n_0, n_1, \dots, n_s$ . Denote by  $P(n_0, n_1, \dots, n_s)$  this polynomial. Set

$$D_J(S) = \bigoplus_{n \geq 0} \frac{J^n S_{(n,\dots,n)}}{J^{n+1} S_{(n,\dots,n)}}$$

and  $\ell = \dim D_{\mathfrak{m}}(S)$ . By Remark 2.3(iv),  $\ell \geq 1$ . Note that  $\dim D_J(S) = \dim D_{\mathfrak{m}}(S)$  for all  $\mathfrak{m}$ -primary ideal  $J$  of  $A$  and  $\deg P(n_0, n_1, \dots, n_s) = \deg P(n, n, \dots, n)$ . Since

$$P(n, n, \dots, n) = \ell_A\left(\frac{J^n S_{(n,\dots,n)}}{J^{n+1} S_{(n,\dots,n)}}\right) = \ell_A(D_J(S)_n)$$

for all large  $n$ , it follows that  $\deg P(n, n, \dots, n) = \dim D_J(S) - 1 = \ell - 1$ . Hence  $\deg P(n_0, n_1, \dots, n_s) = \ell - 1$ .

If we write the term of total degree  $\ell - 1$  of  $P$  in the form

$$\sum_{k_0+k_1+\dots+k_s=\ell-1} e(J, k_0, k_1, \dots, k_s, S) \frac{n_0^{k_0} n_1^{k_1} \dots n_s^{k_s}}{n_0! n_1! \dots n_s!}$$

then  $e(J, k_0, k_1, \dots, k_s, S)$  are non-negative integers not all zero and called the *mixed multiplicity of  $S$  of type  $(k_0, k_1, \dots, k_s)$  with respect to  $J$* .

From now on, the notation  $e_A(J, M)$  will mean the Hilbert-Samuel multiplicity of  $A$ -module  $M$  with respect to ideal  $\mathfrak{m}$ -primary  $J$  of  $A$ . We shall begin the section with the following lemma.

**Lemma 3.1.** *Let  $S$  be a finitely generated standard  $s$ -graded algebra over a Noetherian local ring  $A$  such that  $S_{++}$  is non-nilpotent and  $J$  be an  $\mathfrak{m}$ -primary ideal of  $A$ . Set  $\ell = \dim D_{\mathfrak{m}}(S)$ . Then  $e(J, k_0, 0, \dots, 0, S) \neq 0$  if and only if  $\dim A/(0 : S_{(1, \dots, 1)}^\infty)_A = \ell$ . In this case,  $e(J, k_0, 0, \dots, 0, S) = e_A(J, S_{(n, \dots, n)})$  for all large  $n$ .*

**Proof.** Denote by  $P(n_0, n_1, \dots, n_s)$  the polynomial of

$$\ell_A\left(\frac{J^{n_0} S_{(n_1, \dots, n_s)}}{J^{n_0+1} S_{(n_1, \dots, n_s)}}\right).$$

Then  $P$  is a polynomial of total degree  $\ell - 1$ . By taking  $n_1 = n_2 = \dots = n_s = u$ , where  $u$  is a sufficiently large integer, we get

$$e(J, k_0, 0, \dots, 0, S) = \lim_{n_0 \rightarrow \infty} \frac{(\ell - 1)! P(n_0, u, \dots, u)}{n_0^{\ell-1}}.$$

Since  $P(n_0, u, \dots, u) = \ell_A\left(\frac{J^{n_0} S_{(u, \dots, u)}}{J^{n_0+1} S_{(u, \dots, u)}}\right)$ , it follows that

$$\deg P(n_0, u, \dots, u) = \dim_A S_{(u, \dots, u)} - 1$$

and  $e(J, k_0, 0, \dots, 0, S) \neq 0$  if and only if

$$\deg P(n_0, u, \dots, u) = \dim_A S_{(u, \dots, u)} - 1 = \ell - 1.$$

Since  $A$  is Noetherian,  $(0 : S_{(1, \dots, 1)}^\infty)_A = (0 : S_{(1, \dots, 1)}^n)_A = (0 : S_{(n, \dots, n)})_A$  for all large  $n$ . Hence if  $u$  is chosen sufficiently large, we have

$$\dim_A S_{(u, \dots, u)} = \dim A/(0 : S_{(u, \dots, u)})_A = \dim A/(0 : S_{(1, \dots, 1)}^\infty)_A.$$

Therefore  $e(J, k_0, 0, \dots, 0, S) \neq 0$  if and only if  $\dim A/(0 : S_{(1, \dots, 1)}^\infty)_A = \ell$ . Finally, if  $\dim A/(0 : S_{(1, \dots, 1)}^\infty)_A = \ell$  then  $\dim_A S_{(n, \dots, n)} - 1 = \ell - 1$  for all large  $n$  and hence

$$\begin{aligned} e_A(J, S_{(n, \dots, n)}) &= \lim_{n_0 \rightarrow \infty} \frac{(\ell - 1)! \ell_A\left(\frac{J^{n_0} S_{(n, \dots, n)}}{J^{n_0+1} S_{(n, \dots, n)}}\right)}{n_0^{\ell-1}} \\ &= \lim_{n_0 \rightarrow \infty} \frac{(\ell - 1)! P(n_0, n, \dots, n)}{n_0^{\ell-1}} = e(J, k_0, 0, \dots, 0, S) \end{aligned}$$

for all large integer  $n$ . ■

**Proposition 3.2.** *Let  $S$  be a finitely generated standard  $s$ -graded algebra over a Noetherian local ring  $A$  such that  $S_{++}$  is non-nilpotent and  $J$  be an  $\mathfrak{m}$ -primary ideal of  $A$ . Set  $\ell = \dim D_{\mathfrak{m}}(S)$ . Assume that  $e(J, k_0, k_1, \dots, k_s, S) \neq 0$ , where  $k_0, k_1, \dots, k_s$  are non-negative integers such that  $k_0 + k_1 + \dots + k_s = \ell - 1$ . Then*

(i) *If  $k_i > 0$  and  $x \in S_i$  is a weak-(FC)-element of  $S$  with respect to  $J$  then*

$$e(J, k_0, k_1, \dots, k_s, S) = e(J, k_0, k_1, \dots, k_i - 1, \dots, k_s, S/xS),$$

*and  $\dim D_{\mathfrak{m}}(S/xS) = \ell - 1$ .*

(ii) *There exists a weak-(FC)-sequence of  $t = k_1 + \dots + k_s$  elements of  $S$  in  $\bigcup_{i=1}^s S_i$  with respect to  $J$  consisting of  $k_1$  elements of  $S_1$ , ...,  $k_s$  elements of  $S_s$ .*

**Proof.** The proof of (i): Denote by  $P(n_0, n_1, \dots, n_s)$  the polynomial of

$$\ell_A\left(\frac{J^{n_0}S_{(n_1, \dots, n_s)}}{J^{n_0+1}S_{(n_1, \dots, n_s)}}\right).$$

Then  $\deg P = \ell - 1$ . Since  $x$  satisfies the condition (FC<sub>1</sub>), for all large  $n_0, n_1, \dots, n_s$ , we have

$$\begin{aligned} \ell_A\left(\frac{J^{n_0}(S/xS)_{(n_1, \dots, n_s)}}{J^{n_0+1}(S/xS)_{(n_1, \dots, n_s)}}\right) &= \ell_A\left(\frac{J^{n_0}(S_{(n_1, \dots, n_s)}/xS_{(n_1, \dots, n_i-1, \dots, n_s)})}{J^{n_0+1}(S_{(n_1, \dots, n_s)}/xS_{(n_1, \dots, n_i-1, \dots, n_s)})}\right) \\ &= \ell_A\left(\frac{J^{n_0}S_{(n_1, \dots, n_s)} + xS_{(n_1, \dots, n_i-1, \dots, n_s)}}{J^{n_0+1}S_{(n_1, \dots, n_s)} + xS_{(n_1, \dots, n_i-1, \dots, n_s)}}\right) \\ &= \ell_A\left(\frac{J^{n_0}S_{(n_1, \dots, n_s)}}{(J^{n_0+1}S_{(n_1, \dots, n_s)} + xS_{(n_1, \dots, n_i-1, \dots, n_s)}) \cap J^{n_0}S_{(n_1, \dots, n_s)}}\right) \\ &= \ell_A\left(\frac{J^{n_0}S_{(n_1, \dots, n_s)}}{J^{n_0+1}S_{(n_1, \dots, n_s)} + xS_{(n_1, \dots, n_i-1, \dots, n_s)} \cap J^{n_0}S_{(n_1, \dots, n_s)}}\right) \\ &= \ell_A\left(\frac{J^{n_0}S_{(n_1, \dots, n_s)}}{J^{n_0+1}S_{(n_1, \dots, n_s)} + xJ^{n_0}S_{(n_1, \dots, n_i-1, \dots, n_s)}}\right) \\ &= \ell_A\left(\frac{J^{n_0}S_{(n_1, \dots, n_s)}}{J^{n_0+1}S_{(n_1, \dots, n_s)}}\right) - \ell_A\left(\frac{J^{n_0+1}S_{(n_1, \dots, n_s)} + xJ^{n_0}S_{(n_1, \dots, n_i-1, \dots, n_s)}}{J^{n_0+1}S_{(n_1, \dots, n_s)}}\right) \\ &= \ell_A\left(\frac{J^{n_0}S_{(n_1, \dots, n_s)}}{J^{n_0+1}S_{(n_1, \dots, n_s)}}\right) - \ell_A\left(\frac{xJ^{n_0}S_{(n_1, \dots, n_i-1, \dots, n_s)}}{xJ^{n_0}S_{(n_1, \dots, n_i-1, \dots, n_s)} \cap J^{n_0+1}S_{(n_1, \dots, n_s)}}\right) \\ &= \ell_A\left(\frac{J^{n_0}S_{(n_1, \dots, n_s)}}{J^{n_0+1}S_{(n_1, \dots, n_s)}}\right) - \ell_A\left(\frac{xJ^{n_0}S_{(n_1, \dots, n_i-1, \dots, n_s)}}{xJ^{n_0+1}S_{(n_1, \dots, n_i-1, \dots, n_s)}}\right). \end{aligned}$$

Since  $x$  is a filter-regular element of  $S$ , it follows by Remark 2.3(iii) that

$$J^{n_0}S_{(n_1, \dots, n_i, \dots, n_s)} \cong xJ^{n_0}S_{(n_1, \dots, n_i-1, \dots, n_s)}$$



for all  $n_0$  and all large  $n_1, \dots, n_s$ . Thus we have an isomorphism of  $A$ -modules

$$\frac{xJ^{n_0}S_{(n_1, \dots, n_i-1, \dots, n_s)}}{xJ^{n_0+1}S_{(n_1, \dots, n_i-1, \dots, n_s)}} \cong \frac{J^{n_0}S_{(n_1, \dots, n_i-1, \dots, n_s)}}{J^{n_0+1}S_{(n_1, \dots, n_i-1, \dots, n_s)}}$$

for all large  $n_0, n_1, \dots, n_s$ . So

$$\ell_A\left(\frac{xJ^{n_0}S_{(n_1, \dots, n_i-1, \dots, n_s)}}{xJ^{n_0+1}S_{(n_1, \dots, n_i-1, \dots, n_s)}}\right) = \ell_A\left(\frac{J^{n_0}S_{(n_1, \dots, n_i-1, \dots, n_s)}}{J^{n_0+1}S_{(n_1, \dots, n_i-1, \dots, n_s)}}\right).$$

Hence

$$\ell_A\left(\frac{J^{n_0}(S/xS)_{(n_1, \dots, n_s)}}{J^{n_0+1}(S/xS)_{(n_1, \dots, n_s)}}\right) = \ell_A\left(\frac{J^{n_0}S_{(n_1, \dots, n_s)}}{J^{n_0+1}S_{(n_1, \dots, n_s)}}\right) - \ell_A\left(\frac{J^{n_0}S_{(n_1, \dots, n_i-1, \dots, n_s)}}{J^{n_0+1}S_{(n_1, \dots, n_i-1, \dots, n_s)}}\right)$$

for all large  $n_0, n_1, \dots, n_s$ . Denote by  $Q(n_0, n_1, \dots, n_s)$  the polynomial of

$$\ell_A\left(\frac{J^{n_0}(S/xS)_{(n_1, \dots, n_s)}}{J^{n_0+1}(S/xS)_{(n_1, \dots, n_s)}}\right).$$

From the above fact, we get

$$Q(n_0, n_1, \dots, n_s) = P(n_0, n_1, \dots, n_i, \dots, n_s) - P(n_0, n_1, \dots, n_i - 1, \dots, n_s).$$

Since  $e(J, k_0, k_1, \dots, k_s, S) \neq 0$  and  $k_i > 0$ , it implies that  $\deg Q = \deg P - 1$  and

$$e(J, k_0, k_1, \dots, k_i, \dots, k_s, S) = e(J, k_0, k_1, \dots, k_i - 1, \dots, k_s, S/xS).$$

Note that  $\deg Q = \dim D_{\mathbf{m}}(S/xS) - 1$ . Hence

$$\dim D_{\mathbf{m}}(S/xS) = \deg Q + 1 = \deg P = \ell - 1.$$

The proof of (ii): The proof is by induction on  $t = k_1 + \dots + k_s$ . For  $t = 0$ , the result is trivial. Assume that  $t > 0$ . Since  $k_1 + \dots + k_s = t > 0$ , there exists  $k_j > 0$ . Since  $S_{++}$  is non-nilpotent, by Proposition 2.5, there exists a weak-(FC) element  $x_1 \in S_j$  of  $S$  with respect to  $J$ . By (i),

$$e(J, k_0, k_1, \dots, k_i - 1, \dots, k_s, S/x_1S) = e(J, k_0, k_1, \dots, k_s, S) \neq 0.$$

This follows that

$$\frac{J^{n_0}(S/x_1S)_{(n_1, \dots, n_s)}}{J^{n_0+1}(S/x_1S)_{(n_1, \dots, n_s)}}$$

and so  $(S/x_1S)_{(n_1, \dots, n_s)} \neq 0$  for all large  $n_1, \dots, n_s$ . Hence  $(S/x_1S)_{++}$  is non-nilpotent. Since  $k_1 + \dots + k_j - 1 + \dots + k_s = t - 1$ , by the inductive assumption, there exists  $t - 1$  elements  $x_2, \dots, x_t$  consisting of  $k_1$  elements of  $S_1$ , ...,  $k_j - 1$  elements of  $S_j$ , ...,  $k_s$  elements of  $S_s$  such that  $\bar{x}_2, \dots, \bar{x}_t$  is a weak-(FC)-sequence of  $S/x_1S$  with respect to  $J$  ( $\bar{x}_i$  is initial form of  $x_i$  in  $S/x_1S$ ,  $i = 2, \dots, t$ ). Hence  $x_1, \dots, x_t$  is a weak-(FC)-sequence of  $S$  with respect to  $J$  consisting of  $k_1$  elements of  $S_1$ , ...,  $k_s$  elements of  $S_s$ . ■

The following theorem will give the criteria for the positivity of mixed multiplicities and characterize them in term of Hilbert-Samuel multiplicity.

**Theorem 3.3.** *Let  $S$  be a finitely generated standard  $s$ -graded algebra over a Noetherian local ring  $A$  such that  $S_{++}$  is non-nilpotent. Let  $J$  be an  $\mathfrak{m}$ -primary ideal of  $A$ . Set  $\ell = \dim D_{\mathfrak{m}}(S)$ . Then the following statements hold.*

- (i)  $e(J, k_0, k_1, \dots, k_s, S) \neq 0$  if and only if there exists a weak-(FC)-sequence  $x_1, \dots, x_t$  ( $t = k_1 + \dots + k_s$ ) of  $S$  with respect to  $J$  consisting of  $k_1$  elements of  $S_1$ , ...,  $k_s$  elements of  $S_s$  and

$$\dim D_{\mathfrak{m}}(S/(x_1, \dots, x_t)S) = \dim A/((x_1, \dots, x_t)S : S_{(1, \dots, 1)}^{\infty})_A = \ell - t.$$

- (ii) Suppose that  $e(J, k_0, k_1, \dots, k_s, S) \neq 0$  and  $x_1, \dots, x_t$  ( $t = k_1 + \dots + k_s$ ) is a weak-(FC)-sequence of  $S$  with respect to  $J$  consisting of  $k_1$  elements of  $S_1$ , ...,  $k_s$  elements of  $S_s$ . Set  $\bar{S} = S/(x_1, \dots, x_t)S$ . Then

$$e(J, k_0, k_1, \dots, k_s, S) = e_A(J, \bar{S}_{(n, \dots, n)})$$

for all large  $n$ .

**Proof.** The proof of (i): First, we prove the necessary condition. By Proposition 3.2(ii), there exists a weak-(FC)-sequence  $x_1, \dots, x_t$  of  $S$  with respect to  $J$  consisting of  $k_1$  elements of  $S_1$ , ...,  $k_s$  elements of  $S_s$ . Set  $\bar{S} = S/(x_1, \dots, x_t)S$ . Applying Proposition 3.2(i) by induction on  $t$ , we get  $\dim D_{\mathfrak{m}}(\bar{S}) = \ell - t$  and

$$0 \neq e(J, k_0, k_1, \dots, k_s, S) = e(J, k_0, 0, \dots, 0, \bar{S}).$$

Hence by Lemma 3.1,  $\dim A/(0 : \bar{S}_{(1, \dots, 1)}^{\infty})_A = \ell - t$ . Since

$$\dim A/(0 : \bar{S}_{(1, \dots, 1)}^{\infty})_A = \dim A/((x_1, \dots, x_t)S : S_{(1, \dots, 1)}^{\infty})_A,$$

it follows that  $\dim D_{\mathfrak{m}}(S/(x_1, \dots, x_t)S) = \dim A/((x_1, \dots, x_t)S : S_{(1, \dots, 1)}^{\infty})_A = \ell - t$ . Now, we prove the sufficiently condition. Without loss of general, we may assume that  $x_1 \in S_i$ . Denote by  $P(n_0, n_1, \dots, n_s)$  and  $Q(n_0, n_1, \dots, n_s)$  the polynomials of

$$\ell_A\left(\frac{J^{n_0}S_{(n_1, \dots, n_s)}}{J^{n_0+1}S_{(n_1, \dots, n_s)}}\right) \quad \text{and} \quad \ell_A\left(\frac{J^{n_0}(S/x_1S)_{(n_1, \dots, n_s)}}{J^{n_0+1}(S/x_1S)_{(n_1, \dots, n_s)}}\right),$$

respectively. Then by the proof of Proposition 3.2(i) we have

$$Q(n_0, n_1, \dots, n_s) = P(n_0, n_1, \dots, n_i, \dots, n_s) - P(n_0, n_1, \dots, n_i - 1, \dots, n_s).$$

This implies that  $\deg Q \leq \deg P - 1$ . Recall that  $\deg Q = \dim D_{\mathfrak{m}}(S/x_1S) - 1$  and  $\deg P = \dim D_{\mathfrak{m}}(S) - 1$ . So  $\dim D_{\mathfrak{m}}(S/x_1S) \leq \dim D_{\mathfrak{m}}(S) - 1$ . Similarly, we have

$$\begin{aligned} \ell - t &= \dim D_{\mathfrak{m}}(S/(x_1, \dots, x_t)S) \leq \dim D_{\mathfrak{m}}(S/(x_1, \dots, x_{t-1})S) - 1 \\ &\leq \dots \leq \dim D_{\mathfrak{m}}(S/x_1S) - (t - 1) \leq \dim D_{\mathfrak{m}}(S) - t = \ell - t. \end{aligned}$$

This fact follows  $\dim D_{\mathfrak{m}}(S/x_1S) = \dim D_{\mathfrak{m}}(S) - 1$ . Thus  $\deg Q = \deg P - 1$ . Hence

$$e(J, k_0, k_1, \dots, k_s, S) = e(J, k_0, k_1, \dots, k_i - 1, \dots, k_s, S/x_1S).$$

By induction we have  $e(J, k_0, k_1, \dots, k_s, S) = e(J, k_0, 0, \dots, 0, \bar{S})$ . Since

$$\dim A/(0 : \bar{S}_{(1, \dots, 1)}^\infty)_A = \dim A/((x_1, \dots, x_t)S : S_{(1, \dots, 1)}^\infty)_A = \ell - t = \dim D_{\mathfrak{m}}(\bar{S}),$$

it follows, by Lemma 3.1, that  $e(J, k_0, 0, \dots, 0, \bar{S}) \neq 0$ . Hence

$$e(J, k_0, k_1, \dots, k_s, S) \neq 0.$$

The proof of (ii): Applying Proposition 3.2(i), by induction on  $t$ , we obtain

$$0 \neq e(J, k_0, k_1, \dots, k_s, S) = e(J, k_0, 0, \dots, 0, \bar{S}).$$

On the other hand, by Lemma 3.1,  $e(J, k_0, 0, \dots, 0, \bar{S}) = e_A(J, \bar{S}_{(n, \dots, n)})$  for all large integer  $n$ . Hence  $e(J, k_0, k_1, \dots, k_s, S) = e_A(J, \bar{S}_{(n, \dots, n)})$  for all large  $n$ . ■

**Remark 3.4.** From the proof of Theorem 3.3 we get some comments as following.

- (i) Assume that  $x_1, \dots, x_t$  is a weak-(FC)-sequence in  $\bigcup_{i=1}^s S_i$  of  $S$  with respect to  $J$ . If  $\dim D_{\mathfrak{m}}(S/(x_1, \dots, x_t)S) = \dim D_{\mathfrak{m}}(S) - t$  then  $\dim D_{\mathfrak{m}}(S/(x_1, \dots, x_i)S) = \dim D_{\mathfrak{m}}(S) - i$  for all  $1 \leq i \leq t$ .
- (ii) If  $k_i > 0$  and  $x \in S_i$  is a weak-(FC)-sequence of  $S$  with respect to  $J$  such that  $\dim D_{\mathfrak{m}}(S/xS) = \dim D_{\mathfrak{m}}(S) - 1$  then

$$e(J, k_0, k_1, \dots, k_i, \dots, k_s, S) = e(J, k_0, k_1, \dots, k_i - 1, \dots, k_s, S/xS).$$

- (iii) If  $e(J, k_0, k_1, \dots, k_s, S) \neq 0$  then for every weak-(FC)-sequence  $x_1, \dots, x_t$  ( $t = k_1 + \dots + k_s$ ) of  $S$  with respect to  $J$  consisting of  $k_1$  elements of  $S_1$ , ...,  $k_s$  elements of  $S_s$  we always have

$$\dim D_{\mathfrak{m}}(S/(x_1, \dots, x_t)S) = \dim A/((x_1, \dots, x_t)S : S_{(1, \dots, 1)}^\infty)_A = \ell - t.$$

- (iv) Suppose that  $x_1, \dots, x_t$  is a weak-(FC)-sequence in  $\bigcup_{i=1}^s S_i$  of  $S$  with respect to  $J$ . Then  $\dim D_{\mathfrak{m}}(S/x_1S) \leq \dim D_{\mathfrak{m}}(S) - 1$ . By induction we have

$$\dim D_{\mathfrak{m}}(S/(x_1, \dots, x_t)S) \leq \dim D_{\mathfrak{m}}(S) - t = \ell - t.$$

If  $\ell = t$  then  $\dim D_{\mathfrak{m}}(S/(x_1, \dots, x_t)S) \leq 0$ . Hence  $(S/(x_1, \dots, x_t)S)_{++}$  is nilpotent by Remark 2.3(iv). So  $x_1, \dots, x_t$  is a maximal weak-(FC)-sequence. This fact follows that the length of every weak-(FC)-sequence in  $\bigcup_{i=1}^s S_i$  of  $S$  with respect to  $J$  is not greater than  $\ell$ .

**Example 3.5:** Let  $R = A[X, Y]$  be a polynomial rings of indeterminates  $X, Y$ ;  $\dim A = d > 2$ . Then  $R$  is a finitely generated standard 2-graded algebra over  $A$  with  $\deg X = (1, 0), \deg Y = (0, 1)$  and

$$\dim D_{\mathfrak{m}}(R) = \dim \left[ \bigoplus_{n \geq 0} \frac{\mathfrak{m}^n (XY)^n}{\mathfrak{m}^{n+1} (XY)^n} \right] = \dim \left( \bigoplus_{n \geq 0} \frac{\mathfrak{m}^n}{\mathfrak{m}^{n+1}} \right) = \dim A.$$

It can be verified that  $X, Y$  is a weak-(FC)-sequence of  $R$  with respect to  $\mathfrak{m}$ . Since  $\dim D_{\mathfrak{m}}(R/(X)) = \dim(A/\mathfrak{m}) = 0$  and  $d > 2$ ,  $\dim D_{\mathfrak{m}}(R/(X)) < \dim D_{\mathfrak{m}}(R) - 1$ .

From Theorem 3.3, in the case  $s = 1$ , we get the following result for a graded algebra  $S = \bigoplus_{n \geq 0} S_n$ .

**Corollary 3.6.** *Let  $S = \bigoplus_{n \geq 0} S_n$  be a finitely generated standard graded algebra over  $A$  such that  $S_+ = \bigoplus_{n > 0} S_n$  is non-nilpotent and  $J$  be an  $\mathfrak{m}$ -primary ideal of  $A$ . Set  $D_J(S) = \bigoplus_{n \geq 0} J^n S_n / J^{n+1} S_n$  and  $\dim D_{\mathfrak{m}}(S) = \ell$ . Suppose that  $x_1, \dots, x_q$  is a maximal weak-(FC)-sequence in  $S_1$  of  $S$  with respect to  $J$  satisfying the condition  $\dim D_{\mathfrak{m}}(S/(x_1, \dots, x_q)S) = \ell - q$ . Then*

- (i)  $e(J, \ell - i - 1, i, S) \neq 0$  if and only if  $i \leq q$  and  $\dim A/((x_1, \dots, x_i)S : S_1^\infty)_A = \ell - i$ .
- (ii) If  $e(J, \ell - i - 1, i, S) \neq 0$  then  $e(J, \ell - i - 1, i, S) = e_A(J, S_n/(x_1, \dots, x_i)S_{n-1})$  for all large  $n$ .

**Proof.** By Theorem 3.3(ii) we immediately get (ii). Now let us to prove the part (i). The "if" part. Assume that  $e(J, \ell - i - 1, i, S) \neq 0$ . First, we show that  $i \leq q$ . Assume the contrary that  $i > q$ . Since  $x_1, \dots, x_q$  is a weak-(FC)-sequence in  $S_1$  of  $S$  with respect to  $J$ , applying Proposition 3.2(i) by induction on  $q$ ,

$$0 \neq e(J, \ell - i - 1, i, S) = e(J, \ell - i - 1, i - q, \bar{S}),$$

where  $\bar{S} = S/(x_1, \dots, x_q)S$ . Since  $e(J, \ell - i - 1, i - q, \bar{S}) \neq 0$  and  $i - q > 0$ , by Proposition 3.2(ii), there exists an element  $x \in S_1$  such that  $\bar{x}$  (the initial form of  $x$  in  $\bar{S}$ ) is a weak-(FC)-element of  $\bar{S}$  with respect to  $J$ . By Proposition 3.2(i),  $\dim D_{\mathfrak{m}}(\bar{S}/x\bar{S}) = \ell - q - 1$ . Hence  $x_1, \dots, x_q, x$  is a weak-(FC)-sequence in  $S_1$  of  $S$  with respect to  $J$  satisfying the condition

$$\dim D_{\mathfrak{m}}(S/(x_1, \dots, x_q, x)S) = \ell - q - 1.$$

We thus arrive at a contradiction. Hence  $i \leq q$ . Since  $e(J, \ell - i - 1, i, S) \neq 0$ , by Remark 3.4(iii),  $\dim A/((x_1, \dots, x_i)S : S_1^\infty)_A = \ell - i$ . We turn to the proof of sufficiency. Suppose that  $i \leq q$  and

$$\dim A/((x_1, \dots, x_i)S : S_1^\infty)_A = \ell - i.$$

Since  $\dim D_{\mathfrak{m}}(S/(x_1, \dots, x_q)S) = \ell - q$ , it follows, by Remark 3.4(i), that

$$\dim D_{\mathfrak{m}}(S/(x_1, \dots, x_i)S) = \ell - i$$

for all  $i \leq q$ . Since  $x_1, \dots, x_i$  is a weak-(FC)-sequence of  $S$  with respect to  $J$  satisfying the condition

$$\dim D_{\mathfrak{m}}(S/(x_1, \dots, x_i)S) = \dim A/((x_1, \dots, x_i)S : S_1^\infty)_A = \ell - i$$

by Theorem 3.3(i),  $e(J, \ell - i - 1, i, S) \neq 0$ . ■

**Example 3.7:** Let  $R = A[X_1, X_2, \dots, X_t]$  be the ring of polynomial in  $t$  indeterminates  $X_1, X_2, \dots, X_t$  with coefficients in  $A$  ( $\dim A = d > 0$ ). Then  $R = \bigoplus_{m \geq 0} R_m$  is a finitely generated standard graded algebra over  $A$  (see Example 2.2). Let  $J$  is an  $\mathfrak{m}$ -primary ideal of  $A$ . By Example 2.2,  $X_1, \dots, X_t \in R_1$  is a weak-(FC)-sequence of  $R$  with respect to  $J$ . Denote by  $P(n, m)$  the polynomial of  $\ell_A(\frac{J^n R_m}{J^{n+1} R_m})$ . We have

$$D_{\mathfrak{m}}(R) = \bigoplus_{T \geq 0} \frac{\mathfrak{m}^T R_T}{\mathfrak{m}^{T+1} R_T} = \frac{A[\mathfrak{m}X_1, \dots, \mathfrak{m}X_t]}{\mathfrak{m}A[\mathfrak{m}X_1, \dots, \mathfrak{m}X_t]}.$$

Since  $\text{ht } \mathfrak{m} > 0$ ,  $\dim D_{\mathfrak{m}}(R) = \dim A + t - 1 = d + t - 1$ . Hence  $\deg P(n, m) = d + t - 2$ . It is clear that  $R/(X_1, \dots, X_i)R = A[X_{i+1}, \dots, X_t]$  for all  $i \leq t$ . Hence

$$\dim D_{\mathfrak{m}}(R/(X_1, \dots, X_i)R) = \dim D_{\mathfrak{m}}(R) - i$$

for all  $i \leq t$ . Let us calculate  $e(J, k_0, k_1, R)$ , with  $k_0 + k_1 = d + t - 1$ . First, we consider the case  $k_1 \geq t$ . Since  $X_1, \dots, X_{t-1}$  is a weak-(FC)-sequence of  $R$  with respect to  $J$  and  $\dim D_{\mathfrak{m}}(R/(X_1, \dots, X_i)R) = \dim D_{\mathfrak{m}}(R) - i$  for all  $i \leq t - 1$ , by Remark 3.4(ii),

$$e(J, k_0, k_1, R) = e(J, k_0, k_1 - (t - 1), R/(X_1, \dots, X_{t-1})R) = e(J, k_0, k_1 - t + 1, A[X_t]).$$

Denote by  $Q(n, m)$  the polynomial of  $\ell_A(\frac{J^n X_t^m A}{J^{n+1} X_t^m A})$ . Since  $X_t$  is regular element,  $J^n X_t^m A \cong J^n A$ . Thus, for all large  $n, m$ ,

$$Q(n, m) = \ell_A(\frac{J^n X_t^m A}{J^{n+1} X_t^m A}) = \ell_A(\frac{J^n A}{J^{n+1} A}).$$

Hence  $Q(n, m)$  is independent on  $m$ . Note that  $e(J, k_0, k_1 - t + 1, A[X_t])$  is the coefficient of  $\frac{1}{k_0!(k_1 - t + 1)!} n^{k_0} m^{k_1 - t + 1}$  in  $Q(n, m)$ . Since  $k_1 - t + 1 > 0$ , it follows that

$$e(J, k_0, k_1, R) = e(J, k_0, k_1 - t + 1, A[X_t]) = 0.$$

In the case  $k_1 < t$ , since  $\dim D_{\mathfrak{m}}(R/(X_1, \dots, X_{k_1})R) = \dim D_{\mathfrak{m}}(R) - k_1$ , by Corollary 3.6(i),  $e(J, k_0, k_1, R) \neq 0$  if and only if

$$\dim A/((X_1, \dots, X_{k_1})R : R_1^\infty)_A = d + t - 1 - k_1.$$

Since  $X_1, \dots, X_t$  are independent indeterminates,

$$((X_1, \dots, X_{k_1})R : R_1^\infty)_A \subset ((X_1, \dots, X_{k_1})R : ((X_{k_1+1}, \dots, X_t)A)^\infty)_A = 0.$$

Hence  $\dim A/((X_1, \dots, X_{k_1})R : R_1^\infty)_A = \dim A = d$ . Therefore,  $e(J, k_0, k_1, R) \neq 0$  if and only if  $k_1 = t - 1$ . For  $k_1 = t - 1$  (then  $k_0 = d - 1$ ), by Corollary 3.6(ii), we have

$$e(J, d - 1, t - 1, R) = e_A(J, R_u/(X_1, \dots, X_{t-1})R_{u-1})$$

for all large  $u$ . Note that  $R_u = (X_1, \dots, X_t)^u A$ . So  $R_u/(X_1, \dots, X_{t-1})R_{u-1} = X_t^u A$ . Thus  $e(J, R_u/(X_1, \dots, X_{t-1})R_{u-1}) = e_A(J, X_t^u A)$ . Since  $X_t^u$  is regular element in  $A[X_t]$ ,  $X_t^u A \cong A$ . Hence  $e(J, d - 1, t - 1, R) = e_A(J, X_t^u A) = e_A(J, A)$ . From the above facts we get

$$e(J, k_0, k_1, R) = \begin{cases} 0 & \text{if } k_1 \neq t - 1 \\ e_A(J, A) & \text{if } k_1 = t - 1 \end{cases}.$$

Therefore

$$P(n, m) = \frac{e(J, A)}{(d - 1)!(t - 1)!} n^{d-1} m^{t-1} + \{\text{terms of lower degree}\}.$$

**Remark 3.8.** Example 3.5 and Example 3.7 showed that for any weak-(FC)-sequence  $x_1, \dots, x_t$  of  $S$  with respect to  $J$ , one can get

$$\dim D_{\mathfrak{m}}(S/(x_1, \dots, x_t)) < \dim D_{\mathfrak{m}}(S) - t,$$

and  $\dim A/((x_1, \dots, x_t)S : S_1^\infty)_A = \dim[A/(0 : S_1^\infty)_A] - t$  although

$$\dim A/((x_1, \dots, x_i)S : S_1^\infty)_A \neq \dim[A/(0 : S_1^\infty)_A] - i$$

for some  $i < t$ . That is a difference of weak-(FC)-sequences in graded algebras and weak-(FC)-sequences of ideals in local rings.

#### 4. Applications

As an application of Theorem 3.3, this section devoted to the discussion of mixed multiplicities of arbitrary ideals in local rings.

Throughout this section, let  $(A, \mathfrak{m})$  denote a Noetherian local ring with maximal ideal  $\mathfrak{m}$ , infinite residue  $k = A/\mathfrak{m}$ , and an ideal  $\mathfrak{m}$ -primary  $J$ , and  $I_1, \dots, I_s$  ideals of  $A$  such that  $I = I_1 \cdots I_s$  is non-nilpotent. Set  $S = A[I_1 t_1, \dots, I_s t_s]$ . Then

$$D_J(S) = \bigoplus_{n \geq 0} \frac{(JI)^n}{J(JI)^n} \quad \text{and}$$

$$\ell_A\left(\frac{J^{n_0} S_{(n_1, \dots, n_s)}}{J^{n_0+1} S_{(n_1, \dots, n_s)}}\right) = \ell_A\left(\frac{J^{n_0} I_1^{n_1} \cdots I_s^{n_s}}{J^{n_0+1} I_1^{n_1} \cdots I_s^{n_s}}\right)$$

is a polynomial of total degree  $\dim D_J(S) - 1$  for all large  $n_0, n_1, \dots, n_s$ . By Proposition 3.1 in [Vi], the degree of this polynomial is  $\dim A/0 : I^\infty - 1$ . Hence

$\dim D_J(S) = \dim A/0 : I^\infty$ . Set  $\dim A/0 : I^\infty = \ell$ . In this case,  $e(J, k_0, k_1, \dots, k_s, S)$  for  $k_0 + k_1 + \dots + k_s = \ell - 1$  is called the mixed multiplicity of ideals  $(J, I_1, \dots, I_s)$  of type  $(k_0, k_1, \dots, k_s)$  and one put

$$e(J, k_0, k_1, \dots, k_s, S) = e(J^{[k_0+1]}, I_1^{[k_1]}, \dots, I_s^{[k_s]}, A)$$

(see [Ve2] or [HHRT]). By using the concept of (FC)-sequences of ideals, one transmuted mixed multiplicities of a set of arbitrary ideals into Hilbert-Samuel multiplicities [Vi].

**Definition 4.1** [see Definition, Vi]. *Let  $I_1, \dots, I_s$  be ideals such that  $I = I_1 \cdots I_s$  is a non nilpotent ideal. A element  $x \in A$  is called an (FC)-element of  $A$  with respect to  $(I_1, \dots, I_s)$  if there exists  $i \in \{1, 2, \dots, s\}$  such that  $x \in I_i$  and*

$$(FC_1): \quad (x) \cap I_1^{n_1} \cdots I_i^{n_i} \cdots I_s^{n_s} = x I_1^{n_1} \cdots I_i^{n_i-1} \cdots I_s^{n_s} \text{ for all large } n_1, \dots, n_s.$$

$$(FC_2): \quad x \text{ is a filter-regular element with respect to } I, \text{ i.e., } 0 : x \subseteq 0 : I^\infty.$$

$$(FC_3): \quad \dim A/[(x) : I^\infty] = \dim A/0 : I^\infty - 1.$$

*We call  $x$  a weak-(FC)-element with respect to  $(I_1, \dots, I_s)$  if  $x$  satisfies conditions  $(FC_1)$  and  $(FC_2)$ .*

*Let  $x_1, \dots, x_t$  be a sequence in  $A$ . For each  $i = 0, 1, \dots, t-1$ , set  $A_i = A/(x_1, \dots, x_i)S$ ,  $\bar{I}_j = I_j[A/(x_1, \dots, x_i)]$ ,  $\bar{x}_{i+1}$  the image of  $x_{i+1}$  in  $A_i$ . Then*

*$x_1, \dots, x_t$  is called a weak-(FC)-sequence of  $A$  with respect to  $(I_1, \dots, I_s)$  if  $\bar{x}_{i+1}$  is a weak-(FC)-element of  $A_i$  with respect to  $(\bar{I}_1, \dots, \bar{I}_s)$  for all  $i = 0, 1, \dots, t-1$ .*

*$x_1, \dots, x_t$  is called an (FC)-sequence of  $A$  with respect to  $(I_1, \dots, I_s)$  if  $\bar{x}_{i+1}$  is an (FC)-element of  $A_i$  with respect to  $(\bar{I}_1, \dots, \bar{I}_s)$  for all  $i = 0, 1, \dots, t-1$ .*

*A weak-(FC)-sequence  $x_1, \dots, x_t$  is called a maximal weak-(FC)-sequence if  $IA_{t-1}$  is a non-nilpotent ideal of  $A_{t-1}$  and  $IA_t$  is a nilpotent ideal of  $A_t$ .*

**Remark 4.2.**

- (i) The condition  $(FC_1)$  in Definition 4.1 is a weaker condition than the condition  $(FC_1)$  of definition of (FC)-element in [Vi].
- (ii) If  $x \in I_i$  is a weak-(FC)-element with respect to  $(J, I_1, \dots, I_s)$ , then it can be verified that  $x$  is also a weak-(FC)-element of  $S$  with respect to  $J$  as in Definition 2.1.
- (iii) If  $x_1, \dots, x_t$  is an (FC)-sequence with respect to  $(J, I_1, \dots, I_s)$ , then from the condition  $(FC_3)$  we follow that  $\dim A/((x_1, \dots, x_t)S : S_{(1, \dots, 1)}^\infty)_A = \ell - t$ . Hence

$$\dim D_J(S/(x_1, \dots, x_t)S) = \dim A/((x_1, \dots, x_t)S : S_{(1, \dots, 1)}^\infty)_A = \ell - t$$

that as in the state of Theorem 3.3(i).

(iv) By Lemma 3.1,  $e(J, k_0, 0, \dots, 0, S) \neq 0$  if and only if  $\dim A/(0 : S_{(1, \dots, 1)}^\infty)_A = \ell$ . In this case,  $e(J, k_0, 0, \dots, 0, S) = e_A(J, S_{(n, \dots, n)})$  for all large  $n$ . But since  $\dim A/(0 : S_{(1, \dots, 1)}^\infty)_A = \dim A/0 : I^\infty$ ,  $\dim A/(0 : S_{(1, \dots, 1)}^\infty)_A = \ell$ . Hence  $e(J, k_0, 0, \dots, 0, S) = e_A(J, S_{(n, \dots, n)})$  for all large  $n$ . It is a plain fact that  $e_A(J, S_{(n, \dots, n)}) = e_A(J, I^n)$ . On the other hand by the proof of Lemma 3.2 [Vi],  $e_A(J, I^n) = e_A(J, A/0 : I^\infty)$  for all large  $n$ . Hence  $e(J, k_0, 0, \dots, 0, S) = e_A(J, A/0 : I^\infty)$ .

Then as an immediate consequence of Theorem 3.3, we obtained a more favorite result than [Theorem 3.4, Vi](see Remark 4.2 (i)) as follows.

**Theorem 4.3** [see Theorem 3.4, Vi]. *Let  $(A, \mathfrak{m})$  denote a Noetherian local ring with maximal ideal  $\mathfrak{m}$ , infinite residue  $k = A/\mathfrak{m}$ , and an ideal  $\mathfrak{m}$ -primary  $J$ , and  $I_1, \dots, I_s$  ideals of  $A$  such that  $I = I_1 \cdots I_s$  is non nilpotent. Then the following statements hold.*

- (i)  $e(J^{[k_0+1]}, I_1^{[k_1]}, \dots, I_s^{[k_s]}, A) \neq 0$  if and only if there exists a weak-(FC)-sequence  $x_1, \dots, x_t$  with respect to  $(J, I_1, \dots, I_s)$  consisting of  $k_1$  elements of  $I_1, \dots, k_s$  elements of  $I_s$  and  $\dim A/(x_1, \dots, x_t) : I^\infty = \dim A/0 : I^\infty - t$ .
- (ii) Suppose that  $e(J^{[k_0+1]}, I_1^{[k_1]}, \dots, I_s^{[k_s]}, A) \neq 0$  and  $x_1, \dots, x_t$  is a weak-(FC)-sequence with respect to  $(J, I_1, \dots, I_s)$  consisting of  $k_1$  elements of  $I_1, \dots, k_s$  elements of  $I_s$ . Set  $\bar{A} = A/(x_1, \dots, x_t) : I^\infty$ . Then

$$e(J^{[k_0+1]}, I_1^{[k_1]}, \dots, I_s^{[k_s]}, A) = e_A(J, \bar{A}).$$

Note that one can get this result by a minor improvement in the proof of [Proposition 3.3, Vi](see [DV1]). Moreover, the filtration version of Theorem 4.3 is proved in [DV2].

Recently, [DV1] and [DV2] showed that from Theorem 4.3 one rediscover the earlier result of Trung and Verma [TV] on mixed multiplicities of ideals. This fact proved that Theorem 3.3 covers the main results in [Vi] and [TV].

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